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By: Sara B. McPeak

Sara B McPeak

PATENT  
Attorney Docket No.: 021756-013300US

Client Reference No.: OID-2005-162-10



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Shawn P. Delany et al.

Application No.: 09/998,898

Filed: November 30, 2001

For: POLICIES FOR MODIFYING  
GROUP MEMBERSHIP

Customer No.: 51206

Confirmation No.: 4155

Examiner: Abdullahi Elmi Salad

Art Unit: 2157

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER  
37 CFR §1.97 and §1.98

Mail Stop RCE  
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Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [in compliance with the requirements of 37 CFR §1.98(a)(2)] are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

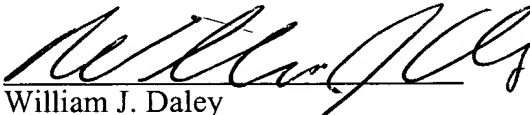
representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Date: February 5, 2007

  
William J. Daley  
Reg. No. 52,471

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CEK:ng



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	09/998,898		
		Filing Date	November 30, 2001		
		First Named Inventor	Shawn P. Delany		
		Art Unit	2157		
		Examiner Name	Abdullahi Elmi Salad		
Sheet	1	of	3	Attorney Docket Number	021756-013300US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	A1	US-5,901,287 A	05-04-1999	Bull et al.	
	A2	US-6,104,705 A	08-15-2000	Ismail et al.	
	A3	US-6,105,006	08-15-2000	Davis et al.	
	A4	US-6,356,996	03-12-2002	Adams, Phillip M.	
	A5	US-6,487,600 B1	11-26-2002	Lynch, Thomas W.	
	A6	US-2003-0105654 A1	06-05-2003	Macleod et al.	
	A7	US-6,859,823 B1	02-22-2005	Nishihara et al.	
	A8	US-6,986,138	01-10-2006	Sakaguchi et al.	
	A9	US-2006-0195575 A1	08-31-2006	Shawn P. Delany	
	A10				
	A11				
	A12				

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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	B2							<input type="checkbox"/>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

60975575 v1

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	09/998,898
				Filing Date	November 30, 2001
				First Named Inventor	Shawn P. Delany
				Art Unit	2157
				Examiner Name	Abdullahi Elmi Salad
Sheet	2	of	3	Attorney Docket Number	021756-013300US

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
	C1	Camenisch, Jan et al., "Privacy and Identity Management for Everyone", ACM, 2005, 8 pages	<input type="checkbox"/>	
	C2	Microsoft, "Microsoft's Vision for an Identity Metasystem", Microsoft Corporation, <a href="http://www.identityblog.com/stories/2005/07/05/IdentityMetasystem.htm">http://www.identityblog.com/stories/2005/07/05/IdentityMetasystem.htm</a> , printed date October 6, 2006, 9 pages	<input type="checkbox"/>	
	C3	PC PRO, "Identity Systems", <a href="http://research.pcpro.co.uk/detail/ORG/1139341311_744.html">http://research.pcpro.co.uk/detail/ORG/1139341311_744.html</a> , printed date October 6, 2006, 2 pages	<input type="checkbox"/>	
	C4	Prasad, CK, "Distributing Sun Java System Identity Server Applications Using Java Web Start", Article Index, April 2004, 8 pages	<input type="checkbox"/>	
	C5	US Patent Application No. 09/997,408, Office Action dated August 7, 15 pages	<input type="checkbox"/>	
	C6	US Patent Application No. 09/997,409, Office Action dated October 20, 2006, 9 pages	<input type="checkbox"/>	
	C7	US Patent Application No. 09/998,893, Office Action dated July 17, 2006, 17 pages	<input type="checkbox"/>	
	C8	US Patent Application No. 09/998,893, Final Office Action dated January 4, 2007, 12 pages	<input type="checkbox"/>	
	C9	US Patent Application No. 09/998,895, Final Office Action dated July 20, 2006, 22 pages	<input type="checkbox"/>	
	C10	US Patent Application No. 09/998,895, Final Office Action dated January 18, 2007, 17 pages	<input type="checkbox"/>	
	C11	US Patent Application No. 09/998,908, Final Office Action dated August 22, 2006, 25 pages	<input type="checkbox"/>	
	C12	US Patent Application No. 09/998,910, Office Action dated September 27, 2006, 12 pages	<input type="checkbox"/>	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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	C13	US Patent Application No. 09/998,916, Advisory Action dated April 5, 2006, 11 pages	<input type="checkbox"/>
	C14	US Patent Application No. 09/998,916, Office Action dated September 5, 2006, 20 pages	<input type="checkbox"/>
	C15	US Patent Application No. 09/998,926, Office Action dated September 11, 2006, 19 pages	<input type="checkbox"/>
	C16	US Patent Application No. 10/145,684, Office Action dated June 30, 2006, 25 pages	<input type="checkbox"/>
	C17	US Patent Application No. 10/146,150, Office Action dated May 30, 2006, 18 pages	<input type="checkbox"/>
	C18	US Patent Application No. 10/146,150, Advisory Office Action dated December 5, 2006, 13 pages	<input type="checkbox"/>
	C19	Wahl, M., "Informed Control Enabling Identity Interoperability", <a href="http://www.ldap.com/1/commentary/wahl/20050203_01.shtml">http://www.ldap.com/1/commentary/wahl/20050203_01.shtml</a> , Feb 3, 2005, 3 pages	<input type="checkbox"/>

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